

CMPSC 174A/174N

# Fundamentals of Database System

## Functional Dependencies and Candidate Keys

Discussion Session  
Friday, 9:00am-9:50am  
Zexi Huang

# Schedule

- ◆ Inferring Functional Dependencies
  - ◆ General Algorithm
  - ◆ Examples
- ◆ Finding Candidate Keys
  - ◆ General Algorithm
  - ◆ Examples

# Inferring Functional Dependencies

## ◆ Problem

- ◆ For a relation  $R$  and a set of functional dependencies  $F$ , infer whether a given FD  $f=X \rightarrow Y$  holds.
- ◆ Example:  $R=(A,B,C)$ ,  $F=\{A \rightarrow B, B \rightarrow C\}$ . Does  $f=A \rightarrow C$  hold?

## ◆ Algorithm

- ◆ Find attribute closure of the attributes on the left of the arrow  $X$ .
  - ◆  $closure=X$ .
  - ◆ Repeat until there is no change: {If there is an FD  $U \rightarrow V$  where  $U$  is a subset of  $closure$ , add  $V$  to  $closure$ }.
- ◆ If the attributes on the right of the arrow,  $Y$  is a subset of  $closure$ , then  $f$  holds and otherwise not.

## ◆ Is there an FD?

- Reflexivity: If  $Y \subseteq X$ , then  $X \rightarrow Y$
- Augmentation: If  $X \rightarrow Y$ , then  $XZ \rightarrow YZ$  for any  $Z$
- Transitivity: If  $X \rightarrow Y$  and  $Y \rightarrow Z$ , then  $X \rightarrow Z$
- Union: If  $X \rightarrow Y$  and  $X \rightarrow Z$ , then  $X \rightarrow YZ$
- Decomposition: If  $X \rightarrow YZ$ , then  $X \rightarrow Y$  and  $X \rightarrow Z$

# Inferring Functional Dependencies

## ◆ Example 1.1

- ◆  $R=(A,B,C,D,E)$ ,  $F=\{AB\rightarrow C, CD\rightarrow E, DE\rightarrow B\}$ .
- ◆ Does  $f=CD\rightarrow BE$  hold?

## ◆ Solution

- ◆  $closure=CD$ .
- ◆  $CD\rightarrow E$ ,  $closure=closure+E=CDE$ .
- ◆  $DE\rightarrow B$ ,  $closure=closure+B=BCDE$ .
- ◆ Since  $BE$  is a subset of  $BCDE$ ,  $f=CD\rightarrow BE$  holds.
- ◆ What about  $f=CD\rightarrow A$ ?

# Inferring Functional Dependencies

## ◆ Example 1.2

- ◆  $R=(A,B,C,D,E)$ ,  $F=\{AB\rightarrow C, CD\rightarrow E, DE\rightarrow B\}$ .
- ◆ Does  $f=ABD\rightarrow CE$  hold?

## ◆ Solution

- ◆  $closure=ABD$ .
- ◆  $AB\rightarrow C$ ,  $closure=closure+C=ABCD$ .
- ◆  $CD\rightarrow E$ ,  $closure=closure+E=ABCDE$ .
- ◆ Since  $CE$  is a subset of  $ABCDE$ ,  $f=ABD\rightarrow CE$  holds.
- ◆ Is  $ABD$  a superkey?

# Inferring Functional Dependencies

## ◆ Example 2.1

- ◆  $R=(A,B,C,D,E)$ ,  $F=\{A \rightarrow BC, CD \rightarrow E, B \rightarrow D, E \rightarrow A, AE \rightarrow D\}$ .
- ◆ Does  $f=E \rightarrow CD$  hold?

## ◆ Solution

- ◆  $closure=E$ .
- ◆  $E \rightarrow A$ ,  $closure=closure+A=AE$ .
- ◆  $A \rightarrow BC$ ,  $closure=closure+BC=ABCE$ .
- ◆  $AE \rightarrow D$ ,  $closure=closure+D=ABCDE$ .
- ◆ Since  $CD$  is a subset of  $ABCDE$ ,  $f=E \rightarrow CD$  holds.
- ◆ Is  $E$  a candidate key?

# Inferring Functional Dependencies

## ◆ Example 2.2

- ◆  $R=(A,B,C,D,E)$ ,  $F=\{A \rightarrow BC, CD \rightarrow E, B \rightarrow D, E \rightarrow A, AE \rightarrow D\}$ .
- ◆ Does  $f=A \rightarrow DE$  hold?

## ◆ Solution

- ◆  $closure=A$ .
- ◆  $A \rightarrow BC$ ,  $closure=closure+BC=ABC$ .
- ◆  $B \rightarrow D$ ,  $closure=closure+D=ABCD$ .
- ◆  $CD \rightarrow E$ ,  $closure=closure+E=ABCDE$ .
- ◆ Since  $DE$  is a subset of  $ABCDE$ ,  $f=A \rightarrow DE$  holds.
- ◆ Is  $A$  a candidate key?

# Finding Candidate Keys

## ◆ Problem

- ◆ For a relation  $R$  and a set of functional dependencies  $F$ , find all of its candidate keys.
- ◆ Example:  $R=(A,B,C)$ ,  $F=\{A\rightarrow B, B\rightarrow C\}$ . What is the candidate key?

## ◆ Algorithm

- ◆ For every subset of all attributes, find its attribute closure.
- ◆ A set of attributes is a candidate key if
  - ◆ Its attribute closure contains all the attributes. (It is a superkey)
  - ◆ None of its proper subsets' attribute closures contain all the attributes. (It is minimal)

## ◆ Faster Computation

- ◆ Start from single attributes.
- ◆ Increase the size of attribute set only after checking all attribute sets of previous size.
- ◆ Once an attribute set is found to be a candidate key, all of its proper supersets can't be candidate keys.



# Finding Candidate Keys

## ◆ Example 3

- ◆  $R=(A,B,C,D)$ ,  $F=\{AB\rightarrow C, C\rightarrow D, D\rightarrow A\}$ .
- ◆ Find all of its candidate keys.

## ◆ Solution

- ◆ A:  $\text{closure}(A)=A$
- ◆ B:  $\text{closure}(B)=B$ .
- ◆ C:  $C\rightarrow D, D\rightarrow A$ ,  $\text{closure}(C)=ACD$ .
- ◆ D:  $D\rightarrow A$ ,  $\text{closure}(D)=AD$ .
- ◆ AB:  $AB\rightarrow C, C\rightarrow D$ ,  $\text{closure}(AB)=ABCD$ .
- ◆ AC:  $C\rightarrow D$ ,  $\text{closure}(AC)=ACD$ .
- ◆ AD:  $\text{closure}(AD)=AD$ .
- ◆ BC:  $C\rightarrow D, D\rightarrow A$ ,  $\text{closure}(BC)=ABCD$ .
- ◆ BD:  $D\rightarrow A, AB\rightarrow C$ ,  $\text{closure}(BD)=ABCD$ .
- ◆ CD:  $D\rightarrow A$ ,  $\text{closure}(CD)=ACD$ .
- ◆ Can we stop here?

# Finding Candidate Keys

## ◆ Example 4

- ◆  $R=(A,B,C,D,E,F,G)$ ,  $F=\{AB\rightarrow F, AD\rightarrow E, F\rightarrow G\}$ .
- ◆ Is ABCD a candidate key?

## ◆ Solution

- ◆ Attribute closure of ABCD:
  - ◆  $closure=ABCD$ .
  - ◆  $AB\rightarrow F$ ,  $closure=closure+F=ABCDF$ .
  - ◆  $F\rightarrow G$ ,  $closure=closure+G=ABCDFG$ .
  - ◆  $AD\rightarrow E$ ,  $closure=closure+E=ABCDEFG$ .
- ◆ Attribute closures of subsets:
  - ◆ A, B, C, D:  $closure(A)=A$ ,  $closure(B)=B$ ,  $closure(C)=C$ ,  $closure(D)=D$ .
  - ◆ AB, AD:  $closure(AB)=ABFG$ ,  $closure(AD)=ADE$ .
  - ◆ AC, BC, BD, CD:  $closure(AC)=AC$ ,  $closure(BC)=BC$ ,  $closure(BD)=BD$ ,  $closure(CD)=CD$ .
  - ◆ ABC, ACD:  $closure(ABC)=closure(AB)+C=ABCFG$ ,  $closure(ACD)=closure(AD)+C=ACDE$ .
  - ◆ ABD:  $closure(ABD)=closure(AB)+closure(AD)=ABDEFG$ .
  - ◆ BCD:  $closure(BCD)=BCD$ .

# Summary

## ◆ Summary

- ◆ We learnt how to infer FDs and find the keys of a given relation by computing attribute closures.
- ◆ Inferring FDs and finding keys are the cornerstones for determining the level of normal forms for relations.

## ◆ TA Evaluation

- ◆ Your inputs are important for improving my teaching skills and quality!
- ◆ Written comments are highly appreciated!
  - ◆ Please use pen for written comments.
  - ◆ If you don't have written comments, please don't write ANYTHING (including name) on the written comment sheet.

## ◆ Q&A